# Spray or Freeze-Drying for Lactoferrin?

JOLIN MOREL, PHD.

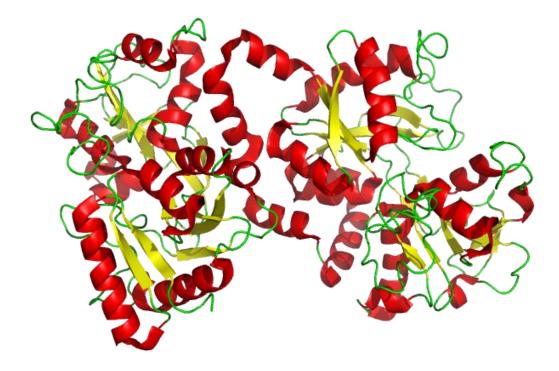
#### Acknowledgements

- Cuddon Freeze-dry, for providing funding
- Tatua Co-Operative Dairy Company, for providing lactoferrin solution and advice about processing conditions.
- Massey University, for supporting this work alongside my Doctoral studies.
- Callaghan Innovation, for supporting my travel to IWC 2022.



#### Lactoferrin

- Globular Glycoprotein-80kDa
- Found in: Saliva, tears, amniotic fluid. **Milk.**
- Iron binding capacity: specific iron binding sites in the N and C lobes of the protein that reversibly bind to ionic iron (Levay & Viljoen, 1995)
- Has antimicrobial, antioxidant and anticancer properties
- Is heat labile at typical pasteurisation and drying temperatures.



# Lactoferrin Industry- New Zealand





#### Lactoferrin Industry-Global

- Global capacity ~500-600 T/annum and growing
- Sale price peaked around USD 3000 kg, dropped back
- Key global standard: China's GB1903.17-2016





Lactoferrin is purchased for its bioactivity

Should be paying for activity, not mass!





# **Denaturation of lactoferrin**

- Lactoferrin is found in 2 forms:
  - Holo-lactoferrin: Fully iron saturated
  - Apo-lactoferrin: unsaturated.
  - Typically~ 30% of bovine lactoferrin is in the Holo form (Marnila &Korhonen 2009)
- Iron saturated Holo-lactoferrin is more compact and more heat stable (Franco, Pérez, Conesa, Calvo, & Sánchez, 2018).
  - Holo-lactoferrin denatures above 79°C
  - Apo-lactoferrin denatures 52-62°C(lafisco, Foltran, Di Foggia, Bonora, & Roveri, 2011)
  - Changing pH conditions changes the denaturation temperatures.
- ~70% is in a heat-labile form- can be denatured by typical pasteurization and drying temperatures.



#### **Prior studies:**

- There have been previous studies comparing spray and freeze drying
- Wang,Timilsena, Blanch, & Adhikari, 2017 b, found no significant difference between the functionality of spray dried and freeze dried.
  - However, they used a lab scale freeze-dryer and a lab scale spray drier.
- Lactoferrin is heat labile (Wang et al., 2017 a)- Spray drying, though gentler than other high-temperature drying methods still involves temperatures above denaturation temperature
- Proteins are still sensitive to denaturation due to some mechanisms when frozen, such as ice-induced denaturation (Bhatnagar, Bogner, & Pikal, 2007), protein dehydration (Carpenter, Prestrelski, & Arakawa, 1993), and cold denaturation (Ascolese & Graziano, 2008). These are typically orders of magnitude slower than high-temperature denaturation processes



#### Difference in scale- Freeze drying



Labconco

VS



**CallaghanInnovation** New Zealand's Innovation Agency



#### Difference in scale- Freeze drying

- Typical lab equipment is different to industrial
  - Product geometry- eg, flasks vs shelves
- Cycle times and temperatures differ significantly between lab and industrial
  - Industrial cycles often higher temperatures than laboratory
  - Throughput is more important for industry
- However, Pilot scale equipment (such as Cuddon FD-18 or FD-80) is designed to mimic industrial geometries and heating cycles to allow direct scaling.



#### Difference in scale- Spray drying







# Difference in scale- Spray drying

- Residence time small scale
  - Laboratory scale ~6 s (Schmitz-Schug, Foerst and Kulozik 2013)
- Residence time large scale
  - Pilot scale operating co-current ~1min (Kieviet and Kerkhof 1995)
  - Pilot scale counter-current ~2-3 min (Gianfrancesco 2009)
  - Pilot scale with fine return and IFB ~9+ minutes (Jeantet et al. 2008)

#### • Therefore:

- Thermal histories differ
- Particle sizes differ
- Degrees of denaturation will differ



# Our aim

- Help those choosing between the two drying methods for Lactoferrin:
- Use industrially relevant equipment:
  - Freeze-dryer that replicates industrial heating geometries, tray materials and dimensions, and heating programmes
  - Pilot-scale production spray dryer.
- Evaluate properties of the dried Lactoferrin:
  - Denaturation by DSC studies
  - Iron binding by incubation in an iron rich solution
  - Antimicrobial activity by incubation of bacteria against serial dilution of lactoferrin.



# Methods-Lactoferrin

- Cost of LF normally makes larger studies
  prohibitively expensive
- Approximately 30-40L total solution kindly provided by Tatua
- 16-18% TS solution. >95% LF
- Commercially extracted from pasteurised skim milk using an agarose based strong cation exchanger









#### Methods- Freeze drying

- Cuddon FD-18 located at Massey University FoodPilot.
- Same heading geometries, tray geometries as industrial scale Cuddon driers.
- LF-solution loaded on LF trays to 12-15mm depth, frozen in place at -40°C.
- Temperature-time profile identical to industrial production was used.



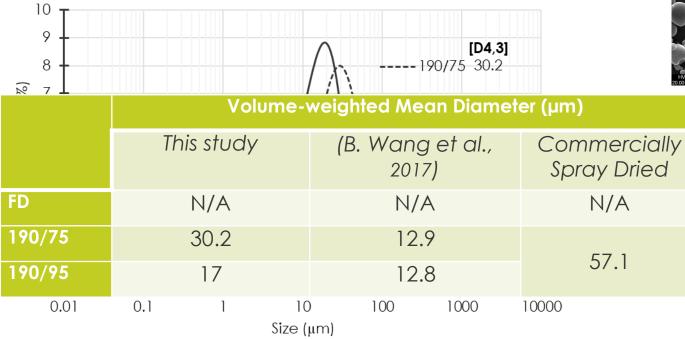
# Methods- Spray drying

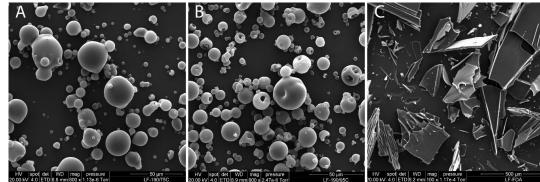
- GEA-Niro Mobile Minor spray-drier, located at Massey University FoodPilot
- Inlet temperature of 190°C for all runs
- Outlet temperature controlled at 75°C or 95°C





# **Results- Drying**







- Mean diameters are greater than those reported in previous literature (B. Wang, Y. P. Timilsena, E. Blanch, & B. Adhikari, 2017) as a result of the larger drier used for this study
- Would have experienced harsher thermal histories (Walton, 2000)
  CallaghanInnovation
  New Zealand's Innovation Agency

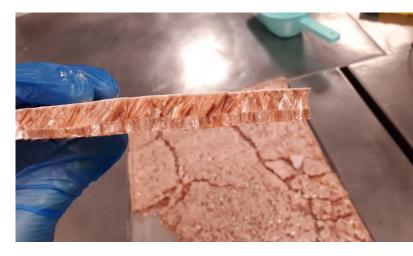


# **Results- Drying**

	Moisture (%)	
	This study	(B. Wang et al.,
		2017)
FD	0.55	2.7
190/75	6.39	8.6
190/95	3.92	5.2



- We produced drier lactoferrin than reported in previous comparison studies
- Previous studies had moisture levels above what would be expected for commercially produced lactoferrin, and higher than allowable under China GB1903.17-2016 :
  - Less than 4.5% LOD





#### Method- DSC

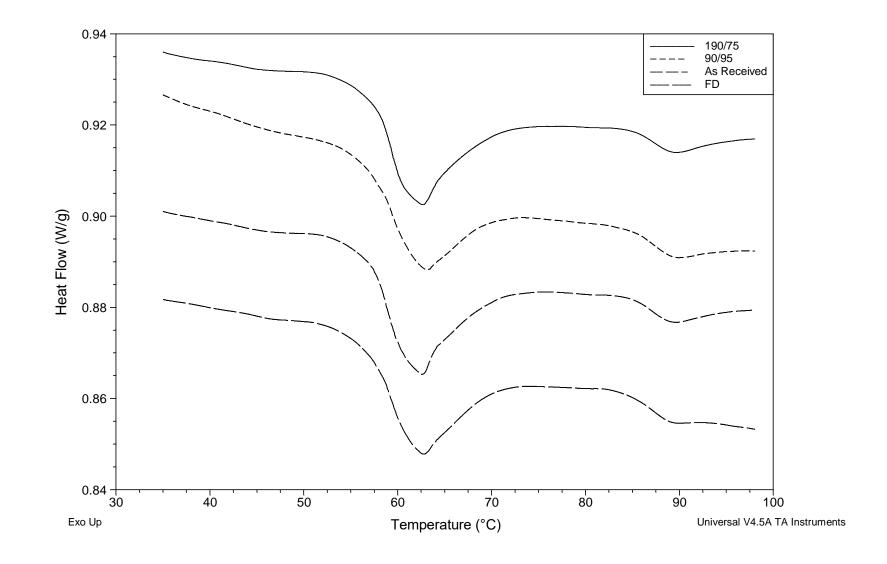


- 1. Lactoferrin samples reconstituted to 15% in MilliQ water
- 2. 10-15mg samples sealed in DSC pans
- 3. Heated 20 °C -100°C and 5 °C/ min
- 4. Denaturation determined relative to native, from enthalpy of first peak:

5. %Denatured = 
$$\left(1 - \frac{\Delta H_{sample}}{\Delta H_{as-received}}\right) * 100$$

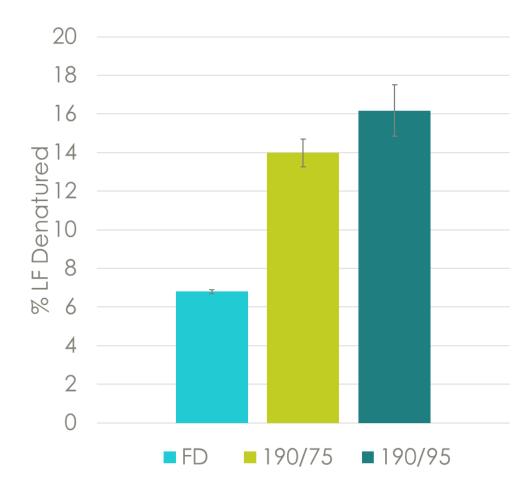


#### **Results- DSC**



**CallaghanInnovation** New Zealand's Innovation Agency

## Spray-dried was significantly more denatured



CallaghanInnovation

- Freeze dried 6.8%
- Spray Dried-75°C exit-14%
- Spray Dried- 95°C exit-16.2%
- Lactoferrin which was acceptably dry, had 2.4x the denaturation.

# Methods- Iron binding

- 1. Incubated LF in a solution of Fe(NO3)3 and Nitrilotriacetic acid (NTA), in a LF:Fe:NTA ratio of 1:2:5
  - NTA is a chelating agent, which wants to absorb free iron ions- LF must compete against it.
- 2. Unbound iron removed by size-exclusion chromatography though Sephadex G25-150 (Mata, Sánchez, Headon, & Calvo, 1998).
- 3. Protein in final isolate measured by Bradford assay.
- 4. Samples microwave digested according to AOAC 2015.06.
- 5. A PinAAcle 900Z graphite-furnace atomic absorption spectrometer was used to determine Fe content according to Bass and Bosnak (2011).



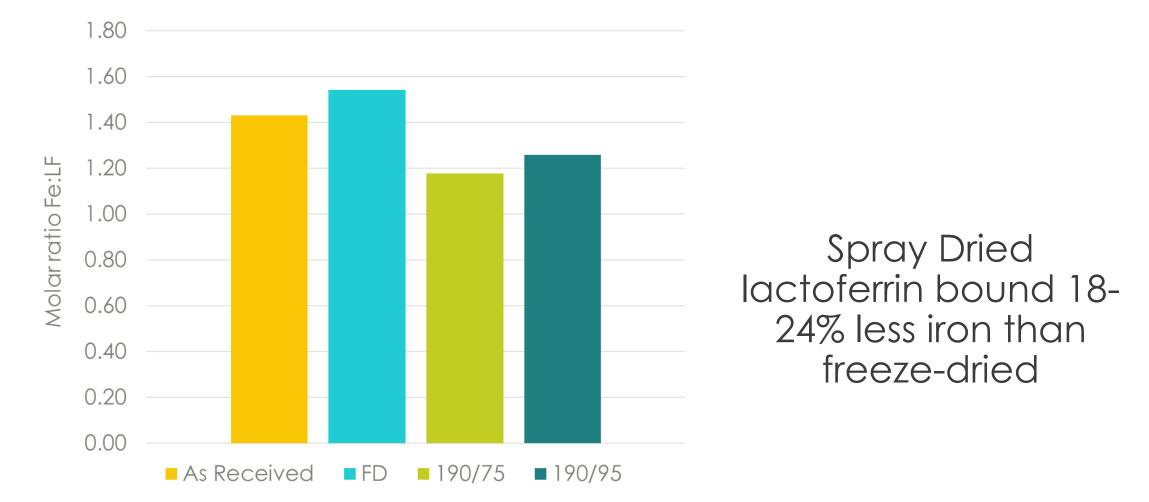








#### Results- Iron binding: Spray-dried bound less iron.



**CallaghanInnovation** New Zealand's Innovation Agency

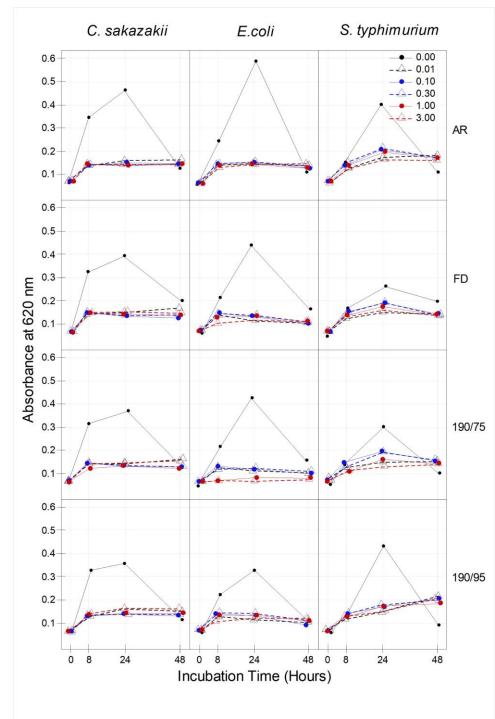
# Methods- Antimicrobial activity

- 1. Serial dilutions of LF samples prepared with MilliQ water and sterilised by 0.22µm filrtration-0.01-3 mg/mL
- 2. Innocula of Escherichia coli NCTC 8196, Cronobacter sakazakii ASQ 5, and Salmonella typhimurium prepared in 0.1% peptone water
- 3. 100µL of each pipetted into wells of 96 well plates
- 4. Incubated under aerobic conditions at 37 °C.
- 5. Activity measured by absorbance at 620 nm at 0, 8, 24, and 48 h using SPECTROstar Nano plate reader



# **Results- Antimicrobial activity**

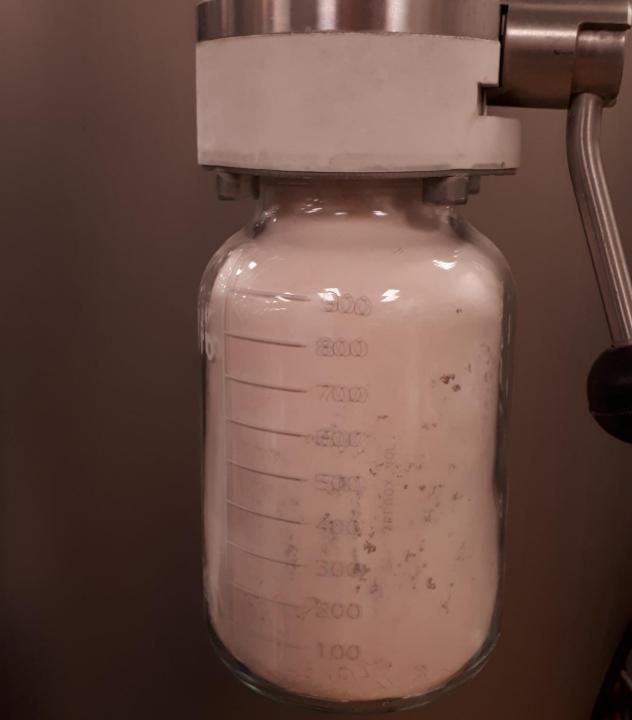
- All samples showed antimicrobial activity-Bacteriostatic, not bactericidal
- Lactoferrin antimicrobial activities have a range of causes
  - Iron binding
  - Lactoferricin
  - Cell membrane interactions.



# Conclusions

Previous comparisons in literature were at small scales

- Lactoferrin produced in previous studies was too moist.
- Particle sizes in dried powders were small.
- Thermal histories were not relevant to industrial scale manufacturing.





# Conclusions

Spray dried will have lower biological activities

- In contrast to small-scale trials, some denaturation was seen in all samples.
- Spray-dried lactoferrin had twice the denaturation of freeze-dried, when compared to fresh.
- All lactoferrin had a bacteriostatic effect in the conditions of our trial.
- Iron binding capacities were lower in spray-dried samples.



**CallaghanInnovation** New Zealand's Innovation Agency

# More information

- Jolin Morel, Siti Norbaizura Md Zain, Richard Archer, Comparison of drying techniques for bovine lactoferrin: Iron binding and antimicrobial properties of dried lactoferrin, International Dairy Journal, Volume 124, 2022.
- Jolin Morel, Siti Norbaizura Md Zain, Richard Archer, Spray, or freeze drying for lactoferrin?, Food NZ, Volume 21, 2021
- Jolin.Morel@callaghaninnovation.govt.nz , +64 27 233 4048



#### References

Ascolese, E., & Graziano, G. (2008). On the cold denaturation of globular proteins. Chemical Physics Letters, 467, 150–153.

- Bass, D., & Bosnak, C. P. (2011). The determination of toxic, trace, and essential elements in food matrices using THGA coupled with longitudinal Zeeman background correction (Application Report). Waltham MA, USA: PerkinElmer, Inc.
- Bhatnagar, B. S., Bogner, R. H., & Pikal, M. J. (2007). Protein stability during freezing: Separation of stresses and mechanisms of protein stabilization. Pharmaceutical Development & Technology, 12, 505–523.
- Carpenter, J. F., Prestrelski, S. J., & Arakawa, T. (1993). Separation of freezing- and drying-induced denaturation of lyophilized proteins using stress-specific stabilization. I. Enzyme activity and calorimetric studies. Archives of Biochemistry and Biophysics, 303, 456–464.
- Franco, I., Pérez, M. D., Conesa, C., Calvo, M., & Sánchez, L. (2018). Effect of technological treatments on bovine lactoferrin: An overview. Food Research International, 106, 173–182.
- Gianfrancesco A (2009) Spray drying engineering: particle stickiness in relation with agglomeration. PhD thesis, AgroParisTech
- lafisco, M., Foltran, I., Di Foggia, M., Bonora, S., & Roveri, N. (2011). Calorimetric and Raman investigation of cow's milk lactoferrin. Journal of Thermal Analysis and Calorimetry, 103, 41–47.
- Jeantet, R., Ducept, F., Dolivet, A. et al. (2008) Residence time distribution: a tool to improve spray-drying control. Dairy Sci. Technol. 88, 31–43
- Frank Kieviet & Piet J.A.M. Kerkhof (1995) Measurements of Particle Residence Time Distributions in A Co-Current Spray Dryer, Drying Technology, 13:5-7, 1241-1248,
- H. Korhonen, P. Marnila (2011), Milk Proteins | Lactoferrin, Ed: John W. Fuquay, Encyclopedia of Dairy Sciences (Second Edition), Academic Press,
- Levay, P. F., & Viljoen, M. (1995). Lactoferrin: a general review. Haematologica, 80, 252–267.
- Mata, L., Sánchez, L., Headon, D. R., & Calvo, M. (1998). Thermal denaturation of human lactoferrin and its effect on the ability to bind iron. Journal of Agricultural and Food Chemistry, 46, 3964–3970.
- Schmitz-Schug, I., Foerst, P., & Kulozik, U. (2013). Impact of the spray drying conditions and residence time distribution on lysine loss in spray dried infant formula. Dairy Science & Technology, 93, 443–462.
- Wang, B., Timilsena, Y. P., Blanch, E., & Adhikari, B. (2017a). Characteristics of bovine lactoferrin powders produced through spray and freeze drying processes. International Journal of Biological Macromolecules, 95, 985–994.
- Wang, B., Timilsena, Y. P., Blanch, E., & Adhikari, B. (2017b). Drying and denaturation characteristics of three forms of bovine lactoferrin. Drying Technology, 35, 606–615.
- D. E. Walton (2000) The morphology of spray-dried particles a qualitative view, Drying Technology, 18:9, 1943-1986

#### CallaghanInnovation

#### New Zealand's Innovation Agency